

FORM L-34-YIELD ON INVESTMENTS

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Statement as on: September 30, 2019

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Name of the Fund: Life Fund

₹ Lakhs

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³			
			Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ^{1,6}	Net Yield (%) ^{2,6}	Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ^{1,6}	Net Yield (%) ^{2,6}	Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ^{1,6}	Net Yield (%) ^{2,6}
1	Government Securities													
	Central Government Bonds	CGSB	2,112,225.54	53,996.86	10.56%	10.56%	2,040,716.93	94,934.18	9.52%	9.52%	1,803,626.15	69,262.49	7.81%	7.81%
	Special Deposits	CSPD	-	-	-	-	-	-	-	-	-	-	-	-
	Deposit under Section 7 of Insurance Act, 1938	CDSS	-	-	-	-	-	-	-	-	-	-	-	-
	Treasury Bills	CTRB	18,441.76	119.50	6.42%	6.42%	18,369.69	263.13	6.39%	6.39%	-	-	-	-
2	Government Securities / Other Approved Securities													
	Central Government Guaranteed Loans / Special / Non-SLR Bonds	CGSL	-	-	-	-	-	-	-	-	-	-	-	-
	State Government Bonds / Development Loans	SGGB	187,774.81	3,717.77	8.11%	8.11%	189,774.87	7,376.50	7.93%	7.93%	203,522.99	6,154.78	6.12%	6.12%
	State Government Guaranteed Loans	SGGL	-	-	-	-	-	-	-	-	-	-	-	-
	Other Approved Securities (excluding Infrastructure Investments)	SGOA	40,960.45	788.07	7.88%	7.88%	41,032.96	1,567.19	7.78%	7.78%	59,828.42	2,388.71	8.12%	8.12%
	Guaranteed Equity	SGGE	-	-	-	-	-	-	-	-	-	-	-	-
3	Investment Subject to Exposure Norms													
	(a) Housing and Loan to State Govt for Housing													
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HFDN	-	-	-	-	-	-	-	-	-	-	-	-
	Housing - Securitised Assets (Approved Investment)	HMBS	-	-	-	-	-	-	-	-	-	-	-	-
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	260,215.07	6,120.53	9.69%	9.69%	267,851.20	11,945.50	9.12%	9.12%	110,999.20	4,592.84	8.42%	8.42%
	Bonds / Debentures / CPs / Loans - Promoter Group	HDPG	-	-	-	-	-	-	-	-	-	-	-	-
	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	11,206.13	321.74	11.92%	11.92%	11,848.71	567.29	9.80%	9.80%	5,311.12	208.38	7.98%	7.98%
	Long term Bank Bonds Approved Investments- Affordable Housing	HLBH	52,637.82	1,177.76	9.20%	9.20%	54,169.30	2,300.23	8.67%	8.67%	45,748.84	1,831.01	8.14%	8.14%
	Debentures/Bonds/CPs/Loans	HODS	-	-	-	-	-	-	-	-	-	-	-	-
	Bonds/Debentures issued by HUDCO	HTHD	2,567.74	51.95	8.29%	8.29%	2,567.91	69.81	8.17%	8.17%	-	-	-	-
	(b) Infrastructure Investments													
	Infrastructure - Other Approved Securities	ISAS	-	-	-	-	-	-	-	-	8,206.49	295.86	7.32%	7.32%
	Infrastructure - PSU - Equity shares - Quoted	ITPE	14,424.80	539.97	15.74%	15.74%	12,791.56	555.50	8.87%	8.87%	13,391.45	3,022.67	50.07%	50.07%
	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	30,112.24	60.06	0.80%	0.80%	30,487.57	401.98	2.65%	2.65%	29,604.90	2,323.51	16.26%	16.26%
	Infrastructure - Debenture / Bonds / CPs / Loans - Promoter Group	IDPG	2,249.71	49.27	9.00%	9.00%	2,249.44	97.88	8.89%	8.89%	2,249.80	97.89	8.87%	8.87%
	Infrastructure - Securitised Assets (Approved)	IESA	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - PSU - Debentures / Bonds	IPTD	397,265.20	8,780.40	9.09%	9.09%	389,288.49	16,538.33	8.68%	8.68%	312,192.83	12,460.14	8.12%	8.12%
	Infrastructure - PSU - CPs	IPCP	12,084.20	219.91	7.44%	7.44%	15,084.11	532.23	7.35%	7.35%	12,971.07	241.27	6.82%	6.82%
	Infrastructure - Other Corporate Securities - Debentures / Bonds	ICTD	47,339.26	1,009.83	8.76%	8.76%	50,173.30	2,288.11	9.33%	9.33%	51,075.80	2,216.80	8.84%	8.84%
	Infrastructure - Other Corporate Securities - CPs	ICCP	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - Term Loans (with charge)	ILWC	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - PSU - Debentures / Bonds	IPFD	21,310.36	440.35	8.48%	8.48%	21,310.79	868.17	8.31%	8.31%	21,592.40	1,087.70	10.30%	10.30%
	Infrastructure - Other Corporate Securities - Debentures / Bonds	ICFD	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - Long term Bank Bonds Approved Investments	ILBI	-	-	-	-	7,498.48	57.64	8.34%	8.34%	7,501.07	306.05	8.30%	8.30%
	Infrastructure - Debentures/Bonds/CPs/Loans	IODS	2,000.41	45.27	9.31%	9.31%	2,000.14	92.06	9.42%	9.42%	2,075.34	95.51	9.39%	9.39%
	Infrastructure - Equity (including unlisted)	IOEQ	36.70	-	-	-	29.44	(0.00)	0.00%	0.00%	7,317.58	(326.75)	-8.71%	-8.71%
	Infrastructure - Reclassified Approved Investments - Debt	IORD	7,501.48	155.25	8.49%	8.49%	7,500.55	248.37	8.39%	8.39%	-	-	-	-
	(c) Approved Investments													
	PSU - Equity shares - Quoted	EAEQ	32,005.36	(1,080.61)	-12.77%	-12.77%	35,352.95	(1,107.58)	-6.17%	-6.17%	35,595.04	1,883.81	10.83%	10.83%
	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	518,836.56	10,218.78	8.07%	8.07%	493,855.57	6,467.37	2.64%	2.64%	330,993.24	41,283.91	26.42%	26.42%
	Corporate Securities - Bonds - (Taxable)	EPBT	-	-	-	-	-	-	-	-	50.36	1.95	7.89%	7.89%
	Corporate Securities - Bonds - (Tax Free)	EPBF	-	-	-	-	-	-	-	-	-	-	-	-
	Corporate Securities - Preference Shares	EPNQ	2,574.60	57.06	9.11%	9.11%	2,546.68	112.26	9.01%	9.01%	3,410.72	112.31	6.68%	6.68%
	Corporate Securities - Debentures	ECOS	182,199.46	4,126.69	9.32%	9.32%	179,667.86	8,214.53	9.35%	9.35%	121,143.56	5,652.55	9.52%	9.52%
	Corporate Securities - Debentures / Bonds / CPs / Loans - Promoter Group	EDPG	-	-	-	-	-	-	-	-	-	-	-	-
	Corporate Securities - Derivative Instruments	ECDI	-	-	-	-	-	-	-	-	-	-	-	-
	Investment properties - Immovable	EINP	37,437.74	374.47	4.04%	4.04%	37,437.74	709.37	3.83%	3.83%	37,437.74	772.70	4.16%	4.16%
	Loans - Policy Loans	ELPL	33,117.41	724.73	8.99%	8.99%	30,848.03	1,352.05	8.96%	8.96%	16,555.83	762.84	9.40%	9.40%

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³			
			Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ^{1,6}	Net Yield (%) ^{2,6}	Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ^{1,6}	Net Yield (%) ^{2,6}	Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ^{1,6}	Net Yield (%) ^{2,6}
	Loans - Secured Loans - Mortgage of Property in India (Term Loan)	ELMI	-	-	-	-	-	-	-	-	-	-	-	
	Loans - Secured Loans - Mortgage of Property outside India (Term Loan)	ELMO	-	-	-	-	-	-	-	-	-	-	-	
	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	49,646.80	1,558.53	13.08%	13.08%	57,125.59	3,360.64	12.11%	12.11%	46,916.34	2,686.23	11.74%	11.74%
	Deposits - CDs with Scheduled Banks	EDCD	4,801.45	88.41	7.53%	7.53%	4,758.11	174.28	7.46%	7.46%	-	-	-	-
	Deposits - Repo / Reverse Repo	ECMR	53,129.03	366.44	5.38%	5.38%	44,653.71	698.83	5.67%	5.67%	-	-	-	-
	CCIL - CBLO	ECBO	-	-	-	-	-	-	-	-	34,138.66	170.78	6.26%	6.26%
	Commercial Papers issued by a Company or All India Financial Institutions	ECCP	-	-	-	-	9,950.77	100.25	7.46%	7.46%	9,715.62	49.53	7.71%	7.71%
	Application Money	ECAM	-	-	-	-	-	-	-	-	-	-	-	-
	Passively Managed Equity ETF (Non Promoter Group)	EETF	-	-	-	-	-	-	-	-	-	-	-	-
	Perpetual Debt Instruments of Tier I and II Capital issued by PSU Banks	EUPD	4,375.84	117.93	11.16%	11.16%	4,521.37	218.90	9.92%	9.92%	1,748.30	77.46	9.03%	9.03%
	Perpetual Debt Instruments of Tier I and II Capital issued by Non-PSU Banks	EPPD	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	89,306.06	1,366.13	6.37%	6.37%	92,022.12	3,049.47	6.81%	6.81%	144,258.44	5,273.57	7.47%	7.47%
	Mutual Funds - (under Insurer's Promoter Group)	EMPG	38,543.13	599.46	6.48%	6.48%	37,557.15	1,254.13	6.87%	6.87%	42,085.85	1,535.86	7.45%	7.45%
	Corporate Securities - Investment in Subsidiaries	ECIS	3,900.00	-	-	-	3,900.00	-	-	-	2,900.00	-	-	-
	Net Current Assets (Only in respect of ULIP Business)	ENCA	-	-	-	-	-	-	-	-	-	-	-	-
	(d) Other Investments													
	Bonds - PSU - Taxable	OBPT	5,957.35	138.49	9.57%	9.57%	5,958.00	273.95	9.41%	9.41%	5,955.34	270.87	9.28%	9.28%
	Bonds - PSU - Tax Free	OBPF	-	-	-	-	-	-	-	-	-	-	-	-
	Equity Shares (incl Co-op Societies)	OESH	92,774.04	(7,145.50)	-27.30%	-27.30%	90,700.74	(11,256.77)	-23.28%	-23.28%	88,433.73	823.51	1.87%	1.87%
	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	-	-	-	-
	Passively Managed Equity ETF (Promoter Group)	OETP	322.01	-	-	-	322.01	-	-	-	-	-	-	-
	Passively Managed Equity ETF (Non Promoter Group)	OETF	4,835.43	(680.01)	-45.28%	-45.28%	7,657.22	(680.01)	-16.97%	-16.97%	-	-	-	-
	Debentures	OLDB	8,614.56	231.23	11.11%	11.11%	7,660.46	410.19	11.00%	11.00%	3,619.76	208.56	11.82%	11.82%
	Venture Fund	OVNF	-	-	-	-	-	-	-	-	-	-	-	-
	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	-	-	-	-
	Term Loans (without Charge)	OTLW	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-
	Derivative Instruments	OCDI	-	-	-	-	-	-	-	-	-	-	-	-
	Securitised Assets (underlying assets Housing Loan / Infrastructure assets)	OPSA	-	17.15	-	-	-	150.31	-	-	-	164.31	-	-
	Equity Shares (PSUs and Unlisted)	OEPU	1,980.53	-	-	-	1,980.53	-	-	-	2,541.85	(536.23)	-37.66%	-37.66%
	Investment properties - Immovable	OIPI	-	-	-	-	-	-	-	-	-	-	-	-
	Reclassified approved investments - Debt	ORAD	8,651.81	119.97	9.42%	9.42%	5,982.53	127.56	9.39%	9.39%	1,333.35	56.04	8.56%	8.56%
	SEBI approved Alternate Investment Fund (Category II)	OAFB	535.03	-	-	-	551.77	7.86	2.87%	2.87%	1,016.70	20.84	4.13%	4.13%
	Preference Shares	OPSH	3,500.00	-	-	-	3,500.00	-	-	-	3,500.00	-	-	-
	Reclassified approved investments - Equity	ORAE	28,799.30	4,104.50	69.90%	69.90%	31,296.76	4,212.14	28.73%	28.73%	-	-	-	-
	Total		4,426,197.17	92,898.35			4,354,553.10	158,553.84			3,630,565.87	167,530.25		

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed to the best of my knowledge and belief.

Date: October 25, 2019

Signature

Full name: Satyan Jambunathan

Designation: Chief Financial Officer

Note: Category of investment (COI) shall be as per Guidelines, as amended from time to time

1 Based on daily simple average of Investments at book value

2 Yield netted for Tax

3 In the previous year column, the figures of the corresponding Year to date of previous financial year have been shown

4 FORM-1 is prepared in respect of each fund. In case of ULIP FORM 1 is prepared at Segregated Fund (SFIN) level and also at consolidated level.

5 YTD Income on investment is reconciled with figures in P&L and Revenue account

6 Signifies annualised yield

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³			
			Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ^{1,6}	Net Yield (%) ^{2,6}	Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ^{1,6}	Net Yield (%) ^{2,6}	Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ^{1,6}	Net Yield (%) ^{2,6}
	Loans - Secured Loans - Mortgage of Property outside India (Term Loan)	ELMO	-	-	-	-	-	-	-	-	-	-	-	-
	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCIL, RBI	ECDB	5,005.00	269.98	23.25%	23.25%	5,005.00	530.70	22.33%	22.33%	5,005.00	482.36	20.14%	20.14%
	Deposits - CDs with Scheduled Banks	EDCD	-	-	-	-	-	-	-	-	-	-	-	-
	Deposits - Repo / Reverse Repo	ECMR	21,431.07	288.63	5.47%	5.47%	20,126.30	563.05	5.67%	5.67%	-	-	-	-
	CCIL - CBLO	ECBO	-	-	-	-	-	-	-	-	20,790.12	620.67	6.04%	6.04%
	Commercial Papers issued by a Company or All India Financial Institutions	ECBP	-	-	-	-	-	-	-	-	-	-	-	-
	Application Money	ECAM	(0.00)	-	-	-	-	-	-	-	-	-	-	-
	Passively Managed Equity ETF (Non Promoter Group)	EETF	-	-	-	-	-	-	-	-	-	-	-	-
	Deposit with Primary Dealers duly recognised by Reserve Bank of India	EDPD	-	-	-	-	-	-	-	-	-	-	-	-
	Perpetual Debt Instruments of Tier I and II Capital issued by PSU Banks	EUPD	599.70	13.56	9.30%	9.30%	599.81	27.14	9.26%	9.26%	599.42	26.56	9.03%	9.03%
	Perpetual Debt Instruments of Tier I and II Capital issued by Non-PSU Banks	EPPD	-	-	-	-	-	-	-	-	-	-	-	-
	Perpetual Non-Cum. P.Shares and Redeemable Cumulative P.Shares of Tier 1 and 2 Capital issued by PSU Banks	EUPS	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - (under Insurer's Promoter Group)	EMPG	-	-	-	-	-	-	-	-	-	-	-	-
	(d) Other Investments													
	Debentures	OLDB	-	-	-	-	-	-	-	-	-	-	-	-
	Equity Shares (incl Co-op Societies)	OESH	-	-	-	-	-	-	-	-	-	-	-	-
	Total		512,059.14	15,110.61			499,678.57	26,747.37			403,380.77	17,726.31		

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed to the best of my knowledge and belief.

Date: October 25, 2019

Signature

Full name:

Designation:

Satyan Jambunathan
Chief Financial Officer

Note: Category of investment (COI) shall be as per Guidelines, as amended from time to time

1 Based on daily simple average of Investments at book value

2 Yield netted for Tax

3 In the previous year column, the figures of the corresponding Year to date of previous financial year have been shown

4 FORM-1 is prepared in respect of each fund. In case of ULIP FORM 1 is prepared at Segregated Fund (SFIN) level and also at consolidated level.

5 YTD Income on investment is reconciled with figures in P&L and Revenue account

6 Signifies annualised yield

FORM L-34-YIELD ON INVESTMENTS

Name of the Insurer: ICICI Prudential Life Insurance Company Limited

Registration Number: 105

Statement as on: September 30, 2019

Statement of Investment and Income on Investment

Periodicity of Submission: Quarterly

Name of the Fund: Linked Fund

₹ Lakhs

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³			
			Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ^{1,6}	Net Yield (%) ^{2,6}	Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ^{1,6}	Net Yield (%) ^{2,6}	Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ^{1,6}	Net Yield (%) ^{2,6}
1	Government Securities													
	Central Government Bonds	CGSB	1,034,375.94	20,141.21	7.97%	7.97%	963,678.48	64,050.41	13.73%	13.73%	870,194.70	8,117.89	1.87%	1.87%
	Special Deposits	CSPD	-	-	-	-	-	-	-	-	-	-	-	-
	Deposit under Section 7 of Insurance Act, 1938	CDSS	-	-	-	-	-	-	-	-	-	-	-	-
	Treasury Bills	CTRB	472,996.92	7,347.68	6.32%	6.32%	563,728.61	17,927.81	6.46%	6.46%	273,197.40	8,842.87	6.56%	6.56%
2	Government Securities / Other Approved Securities													
	Central Government Guaranteed Loans / Special / Non-SLR Bonds	CGSL	-	-	-	-	-	-	-	-	-	-	-	-
	State Government Bonds / Development Loans	SGGB	22,751.32	759.71	13.96%	13.96%	31,222.95	1,677.33	11.03%	11.03%	136,566.17	2,179.64	3.21%	3.21%
	State Government Guaranteed Loans	SGGL	-	-	-	-	-	-	-	-	-	-	-	-
	Other Approved Securities (excluding Infrastructure Investments)	SGOA	14,264.48	494.95	14.53%	14.53%	14,055.34	919.81	13.52%	13.52%	24,534.26	(439.15)	-3.54%	-3.54%
	Guaranteed Equity	SGGE	-	-	-	-	-	-	-	-	-	-	-	-
3	Investment Subject to Exposure Norms													
	(a) Housing and Loan to State Govt. for Housing													
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HFDN	-	-	-	-	-	-	-	-	-	-	-	-
	Housing - Securitised Assets (Approved Investment)	HMBS	-	-	-	-	-	-	-	-	-	-	-	-
	Bonds / Debentures issued by NHB / Institutions accredited by NHB	HTDN	536,989.87	15,507.64	11.99%	11.99%	552,741.93	28,004.38	10.39%	10.39%	494,533.84	13,708.81	5.61%	5.61%
	Bonds / Debentures / CPs / Loans - Promoter Group	HDPG	-	-	-	-	-	-	-	-	9,996.24	347.66	7.81%	7.81%
	Commercial Papers - NHB / Institutions accredited by NHB	HTLN	91,363.47	1,808.08	8.11%	8.11%	92,660.93	3,682.85	8.11%	8.11%	89,856.96	3,498.96	7.92%	7.92%
	Long term Bank Bonds Approved Investments- Affordable Housing	HLBH	44,967.65	1,608.03	15.00%	15.00%	46,905.94	3,084.21	13.58%	13.58%	29,437.70	152.53	1.04%	1.04%
	Bonds/Debentures issued by HUDCO	HTHD	8,972.40	78.07	3.51%	3.51%	7,661.59	180.23	6.99%	6.99%	-	-	-	-
	(b) Infrastructure Investments													
	Infrastructure - Other Approved Securities	ISAS	103,931.75	2,206.64	8.72%	8.72%	95,890.36	3,943.27	8.39%	8.39%	101,409.39	3,635.55	7.28%	7.28%
	Infrastructure - PSU - Equity shares - Quoted	ITPE	86,828.37	(7,814.77)	-31.29%	-31.29%	86,303.12	(4,184.57)	-9.46%	-9.46%	204,081.15	(4,223.94)	-4.09%	-4.09%
	Infrastructure - Corporate Securities - Equity shares-Quoted	ITCE	509,211.16	(25,346.47)	-18.38%	-18.38%	514,346.71	33,576.75	13.48%	13.48%	558,833.95	(65,389.43)	-21.98%	-21.98%
	Infrastructure - Securitised Assets (Approved)	IESA	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - Debenture / Bonds / CPs / Loans - Promoter Group	IDPG	3,786.91	100.54	10.99%	10.99%	4,554.08	218.52	9.83%	9.83%	6,237.13	157.04	5.08%	5.08%
	Infrastructure - PSU - Debentures / Bonds	IPTD	410,385.04	12,909.07	13.11%	13.11%	385,931.24	24,801.34	13.27%	13.27%	367,823.77	6,972.30	3.82%	3.82%
	Infrastructure - PSU - CPs	IPCP	27,947.07	594.32	8.73%	8.73%	32,105.42	1,273.87	8.09%	8.09%	67,773.61	2,344.01	7.02%	7.02%
	Infrastructure - PSU - Debentures / Bonds	IPFD	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - Other Corporate Securities - Debentures/ Bonds	ICTD	106,797.85	2,942.13	11.42%	11.42%	96,699.89	4,671.06	9.89%	9.89%	83,201.48	899.27	2.17%	2.17%
	Infrastructure - Other Corporate Securities - CPs	ICCP	32,069.00	634.56	8.11%	8.11%	36,886.39	1,048.70	7.72%	7.72%	48,023.96	1,655.18	7.07%	7.07%
	Infrastructure - Other Corporate Securities - Debentures / Bonds	ICFD	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - Term Loans (with Charge)	ILWC	-	-	-	-	-	-	-	-	-	-	-	-
	Infrastructure - Long term Bank Bonds Approved Investments	ILBI	-	-	-	-	6,103.84	(22.52)	-3.79%	-3.79%	6,148.91	(24.84)	-0.80%	-0.80%
	Infrastructure - Debentures/Bonds/CPs/Loans	IODS	-	-	-	-	-	-	-	-	399.99	5.92	3.99%	3.99%
	Infrastructure - Equity (including unlisted)	IOEQ	9,637.42	(12,814.17)	0.00%	0.00%	18,648.69	(27,927.23)	-75.24%	-75.24%	81,979.54	(53,268.62)	-87.66%	-87.66%
	Infrastructure - Reclassified Approved Investments - Debt	IORD	7,160.77	84.66	4.79%	4.79%	6,889.67	247.70	9.13%	9.13%	-	-	-	-
	(c) Approved Investments													
	PSU - Equity shares - Quoted	EAEQ	162,996.69	(15,849.90)	-33.43%	-33.43%	196,770.68	(13,929.12)	-13.66%	-13.66%	447,099.80	(14,631.93)	-6.42%	-6.42%
	Corporate Securities - Equity shares (Ordinary)- Quoted	EACE	4,686,955.85	(29,337.94)	-2.47%	-2.47%	4,738,880.36	(49,195.58)	-2.07%	-2.07%	4,411,521.56	342,269.59	16.07%	16.07%
	Corporate Securities - Bonds - (Taxable)	EPBT	-	-	-	-	-	-	-	-	-	-	-	-
	Corporate Securities - Bonds - (Tax Free)	EPBF	-	-	-	-	-	-	-	-	-	-	-	-
	Corporate Securities - Preference Shares	EPNQ	4,922.09	-	-	-	4,911.29	(231.27)	-9.20%	-9.20%	7,145.39	323.89	9.24%	9.24%
	Corporate Securities - Investment in Subsidiaries	ECIS	-	-	-	-	-	-	-	-	-	-	-	-
	Corporate Securities - Debentures	ECOS	489,939.19	15,542.28	13.23%	13.23%	473,231.32	26,250.11	11.40%	11.40%	468,640.42	8,568.94	3.68%	3.68%
	Corporate Securities - Debentures / Bonds / CPs / Loans - Promoter Group	EDPG	6,241.78	232.96	15.69%	15.69%	6,205.31	341.84	11.32%	11.32%	6,078.79	45.46	1.50%	1.50%
	Deposits - Deposit with Scheduled Banks, FIs (incl. Bank Balance awaiting Investment), CCL, RBI	ECDB	7,061.00	281.14	16.80%	16.80%	7,061.00	553.09	16.28%	16.28%	9,476.22	730.46	15.96%	15.96%
	Deposits - CDs with Scheduled Banks	EDCD	86,979.08	1,628.78	7.66%	7.66%	88,752.47	3,363.71	7.72%	7.72%	42,174.53	1,518.46	7.31%	7.31%
	Deposits - Repo / Reverse Repo	ECMR	336,211.30	4,548.25	5.49%	5.49%	307,379.98	8,611.72	5.68%	5.68%	-	-	-	-

No.	Category of Investment	Category Code	Current Quarter				Year to Date (current year)				Year to Date (previous year) ³			
			Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ^{1,6}	Net Yield (%) ^{2,6}	Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ^{1,6}	Net Yield (%) ^{2,6}	Investment (₹) ¹	Income on Investment (₹)	Gross Yield (%) ^{1,6}	Net Yield (%) ^{2,6}
	CCIL - CBLO	ECBO	-	-	-	-	-	-	-	-	121,197.84	3,653.72	6.10%	6.10%
	Commercial Papers issued by a Company or All India Financial Institutions	ECCP	263,887.03	4,887.61	7.57%	7.57%	221,896.76	8,378.14	7.69%	7.69%	239,626.00	8,916.76	7.56%	7.56%
	Application Money	ECAM	11,311.42	-	-	-	18,565.94	-	-	-	1,057.10	-	-	-
	Perpetual Debt Instruments of Tier I and II Capital issued by PSU Banks	EUPD	16,395.56	306.21	24.42%	24.42%	19,014.94	644.59	10.52%	10.52%	-	-	-	-
	Perpetual Debt Instruments of Tier I and II Capital issued by Non-PSU Banks	EPPD	-	-	-	-	-	-	-	-	4,535.40	172.03	7.71%	7.71%
	Mutual Funds - Gilt / G Sec / Liquid Schemes	EGMF	90,123.80	1,403.92	6.49%	6.49%	125,011.24	4,188.95	6.89%	6.89%	302,073.96	10,765.41	7.28%	7.28%
	Mutual Funds - (under Insurer's Promoter Group)	EMPG	41,142.54	642.63	6.51%	6.51%	55,589.82	1,878.82	6.95%	6.95%	88,035.48	3,119.21	7.23%	7.23%
	Net Current Assets (Only in respect of ULIP Business)	ENCA	87,395.03	-	-	-	87,395.03	-	0.00%	0.00%	88,747.64	-	-	-
	(d) Other Investments													
	Bonds - PSU - Taxable	OBPT	-	-	-	-	-	-	-	-	-	-	-	-
	Bonds - PSU - Tax Free	OBPF	-	-	-	-	-	-	-	-	-	-	-	-
	Equity Shares (incl Co-op Societies)	OESH	141,349.80	(24,465.38)	-53.05%	-53.05%	155,071.60	(52,568.56)	-56.31%	-56.31%	234,787.35	(63,370.81)	-46.60%	-46.60%
	Equity Shares (incl. Equity related Instruments) - Promoter Group	OEPG	-	-	-	-	-	-	-	-	-	-	-	-
	Debentures	OLDB	10,079.07	268.56	11.03%	11.03%	10,077.49	472.45	9.60%	9.60%	4,983.76	90.43	3.65%	3.65%
	Venture Fund	OVNF	-	-	-	-	-	-	-	-	-	-	-	-
	Short term Loans (Unsecured Deposits)	OSLU	-	-	-	-	-	-	-	-	-	-	-	-
	Term Loans (without Charge)	OTLW	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - Debt / Income / Serial Plans / Liquid Secemes	OMGS	-	-	-	-	-	-	-	-	-	-	-	-
	Mutual Funds - (under Insurer's Promoter Group)	OMPG	-	-	-	-	-	-	-	-	-	-	-	-
	Derivative Instruments	OCDI	-	-	-	-	-	-	-	-	-	-	-	-
	Securitized Assets (underlying assets Housing Loan / Infrastructure assets)	OPSA	-	-	-	-	-	-	-	-	-	-	-	-
	Equity Shares (PSUs and Unlisted)	OEPU	2,486.33	(704.94)	-73.46%	-73.46%	2,449.90	(934.24)	-61.73%	-61.73%	36,447.44	(17,340.03)	-72.42%	-72.42%
	Passively Managed Equity ETF (Non Promoter Group)	OETF	721,781.76	(31,597.09)	-16.31%	-16.31%	660,077.25	(33,007.55)	-9.75%	-9.75%	162,916.59	(15,909.81)	-18.53%	-18.53%
	Commercial Papers	OACP	-	-	-	-	-	-	-	-	-	-	-	-
	Investment properties - Immovable	OIPI	-	-	-	-	-	-	-	-	-	-	-	-
	SEBI approved Alternate Investment Fund (Category II)	OAFB	24.57	1.62	28.92%	28.92%	24.57	3.24	28.11%	28.11%	59.65	22.19	87.89%	87.89%
	Reclassified Approved Investments - Equity (Point 6 under Note for Regulation 4 to 9)	ORAE	289,951.66	(63,165.48)	-62.37%	-62.37%	345,674.18	(43,394.79)	-23.53%	-23.53%	5,741.77	513.06	40.96%	40.96%
	Passively Managed Equity ETF (Promoter Group)	OETP	6,225.18	394.31	59.73%	59.73%	6,225.18	394.31	59.73%	59.73%	-	-	-	-
	Reclassified Approved Investments - Debt (Point 6 under Note for Regulation 4 to 9)	ORAD	28,067.48	764.22	11.28%	11.28%	27,500.27	744.45	10.84%	10.84%	-	-	-	-
	Total		11,015,965.59	(112,976.34)			11,114,781.77	19,738.23			10,136,576.83	198,628.68		

CERTIFICATION

Certified that the information given herein are correct, complete and nothing has been concealed or suppressed to the best of my knowledge and belief.

Date: October 25, 2019

Signature

Full name: Satyan Jambunathan

Designation: Chief Financial Officer

Note: Category of investment (COI) shall be as per Guidelines, as amended from time to time

1 Based on daily simple average of Investments at market value

2 Yield netted for Tax

3 In the previous year column, the figures of the corresponding Year to date of previous financial year have been shown

4 FORM-1 is prepared in respect of each fund. In case of ULIP FORM 1 is prepared at Segregated Fund (SFIN) level and also at consolidated level.

5 YTD Income on investment is reconciled with figures in P&L and Revenue account

6 Signifies annualised yield